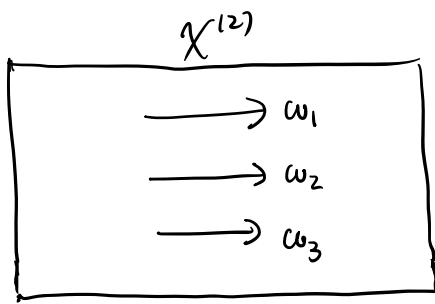


## Lecture 4: Phase-matching and Quasi-phase-matching

### Learning objectives:

1. The Manley-Rome relation
2. Difficulties in phase-matching
3. Techniques for phase matching
  - } Birefringence crystal
  - } Quasi-phase matching

# 1. The Manley-Rowe relations.



Three-wave interaction  
in  $\chi^{(2)}$  media

$$\left\{ \begin{array}{l} \frac{dA_3}{dz} = \frac{2i d_{\text{eff}} \omega_3^2}{k_3 c^2} A_1 A_2 e^{i\Delta k z} \quad (1) \\ \frac{dA_1}{dz} = \frac{2i d_{\text{eff}} \omega_1^2}{k_1 c^2} A_3 A_2^* e^{-i\Delta k z} \quad (2) \\ \frac{dA_2}{dz} = \frac{2i d_{\text{eff}} \omega_2^2}{k_2 c^2} A_3 A_1^* e^{-i\Delta k z} \quad (3) \end{array} \right.$$

(evolution of field amplitudes for SFG)

Intensity of each wave:

$$I_i = 2n_i \epsilon_0 c \cdot A_i A_i^*$$

$$\frac{dI_i}{dz} = 2n_i \epsilon_0 c \left( A_i^* \frac{dA_i}{dz} + A_i \frac{dA_i^*}{dz} \right)$$

For  $I_1$ :

$$\frac{dI_1}{dz} = 2n_1 \epsilon_0 c \cdot \left( A_1^* \frac{dA_1}{dz} + A_1 \frac{dA_1^*}{dz} \right)$$

$$\Rightarrow \frac{dI_1}{dz} = 2n_1 \epsilon_0 c \cdot \frac{2 d_{\text{eff}} \omega^2}{k_1 c^2} \left( i A_1^* A_3 A_2^* \cdot e^{-i\Delta k z} + \text{c.c.} \right)$$

$$= 4 \epsilon_0 d_{\text{eff}} \omega_1 \left( i A_3 A_1^* A_2^* e^{-i\Delta k z} + \text{c.c.} \right)$$

$$= -8 \epsilon_0 d_{\text{eff}} \omega_1 \text{Im} (A_3 \cdot A_1^* \cdot A_2^* \cdot e^{-i\Delta k z})$$

Similarly,

$$\frac{dI_2}{dz} = -8 \epsilon_0 d_{\text{eff}} \omega_2 \text{Im} (A_3 \cdot A_1^* \cdot A_2^* e^{-i\Delta k z})$$

$$\begin{aligned} \frac{dI_3}{dz} &= -8 \epsilon_0 d_{\text{eff}} \omega_3 \cdot \text{Im} (A_3^* A_1 A_2 e^{i\Delta k z}) \\ &= 8 \epsilon_0 d_{\text{eff}} \omega_3 \cdot \text{Im} (A_3 \cdot A_1^* A_2^* e^{-i\Delta k z}) \end{aligned}$$

Comments:

- ① For SFG process,  $\frac{dI_1}{dz}$  and  $\frac{dI_2}{dz}$  are of the same sign.
- ②  $\Delta k$  governs the direction of energy flow.
- ③ Total power flow (energy) is conserved.

Proof:

$$I = I_1 + I_2 + I_3$$

$$\frac{dI}{dz} = \frac{dI_1}{dz} + \frac{dI_2}{dz} + \frac{dI_3}{dz} =$$

$$= -8 \epsilon_0 d_{\text{eff}} (\omega_1 + \omega_2 - \omega_3) \text{Im} (A_3 \cdot A_1^* \cdot A_2^* e^{i\Delta k z}) = 0$$

(I is a constant along the crystal)

$$\textcircled{4} \quad \frac{d}{dz} \left( \frac{I_1}{\omega_1} \right) = \frac{d}{dz} \left( \frac{I_2}{\omega_2} \right) = - \frac{d}{dz} \left( \frac{I_3}{\omega_3} \right) \quad (\text{Manley-Rome relations})$$

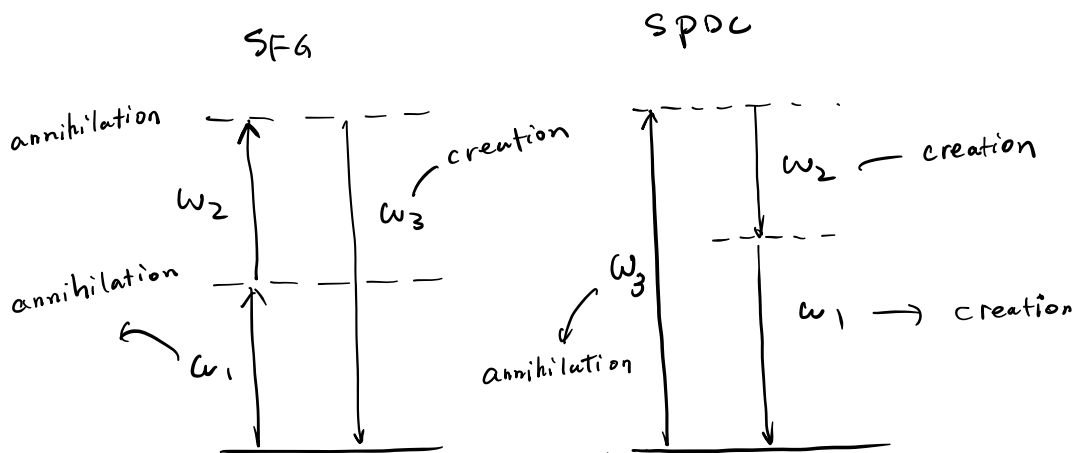
Physical meaning of Manley-Rome relation?

energy of a photon:  $\hbar \omega_i$

$$\text{Intensity} \sim \frac{\text{Power}}{A \rightarrow \text{area}} = \frac{\text{Energy}/t}{A} = \frac{N \cdot \hbar \omega_i}{t \cdot A}$$

# of photons

$\Rightarrow \frac{I_i}{\omega_i}$  denotes # of photons per unit area, per unit time.

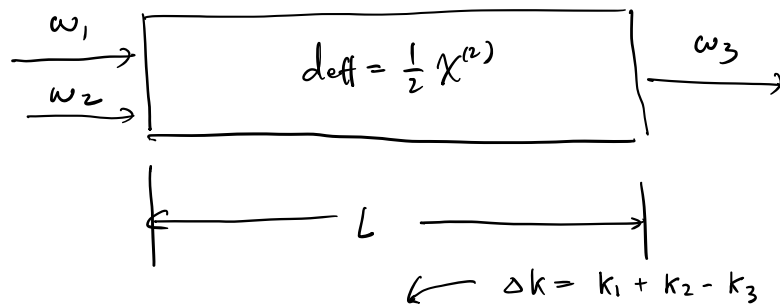


SFG:

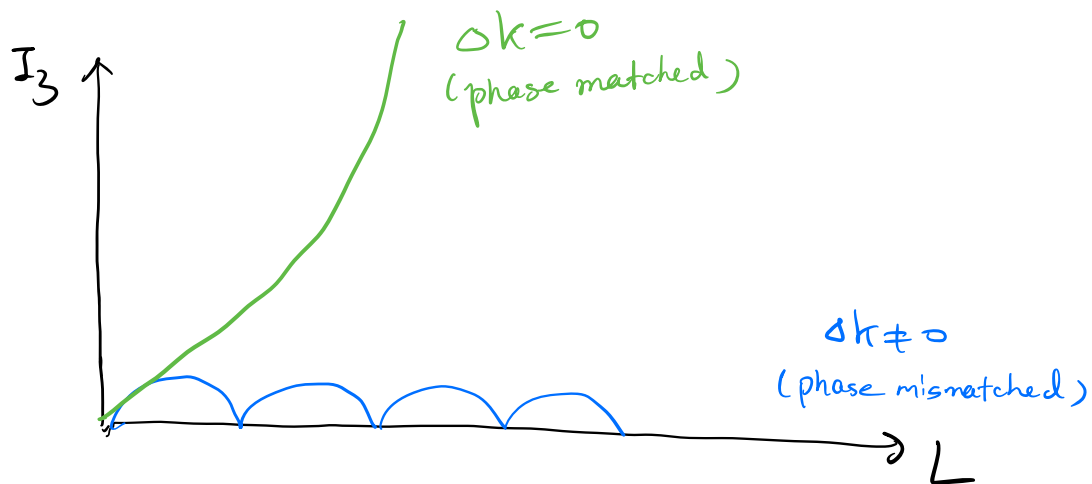
The rate at which photons at  $\omega_3$  are created  
 = the rate at which photons at  $\omega_1$  are destroyed  
 = ... ..  $\omega_2$  .. ..

## 2. Difficulty in phase-matching

For SFG



$$I_3 \propto \text{sinc}^2\left(\frac{\Delta k L}{2}\right)$$



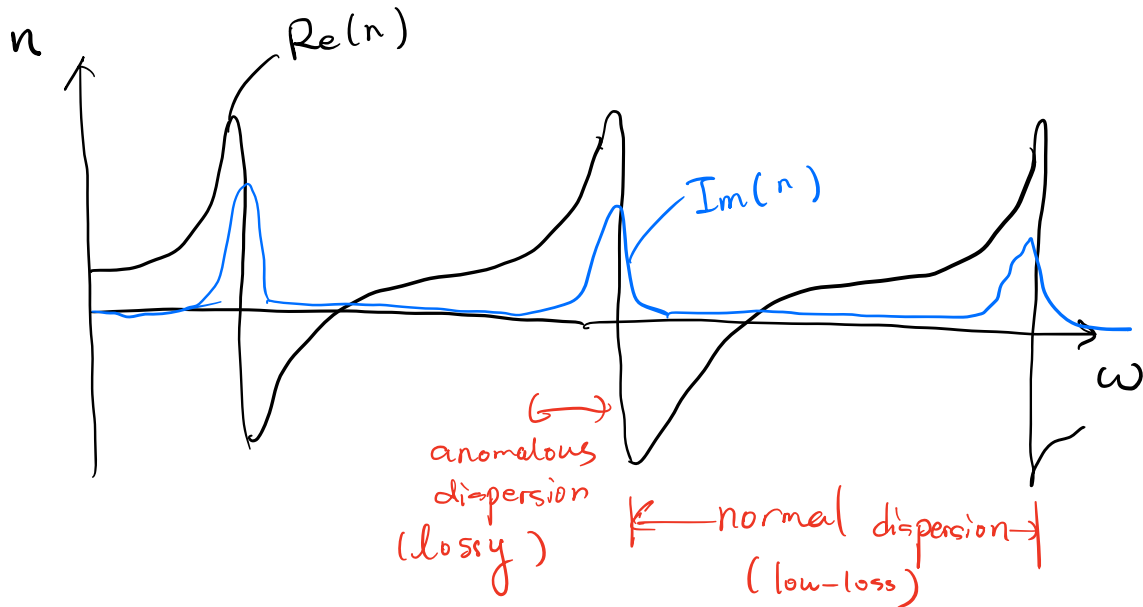
Phase matching condition:

$$\Delta k = k_1 + k_2 - k_3 = 0 \quad \text{with} \quad k_i = n_i \frac{\omega_i}{c}$$

$$\Rightarrow n_1 \frac{\omega_1}{c} + n_2 \frac{\omega_2}{c} = n_3 \frac{\omega_3}{c} \quad (\text{momentum conservation})$$

$$\text{where } \omega_3 = \omega_1 + \omega_2 \quad (\text{energy conservation})$$

# Dispersion of materials.



## Comments:

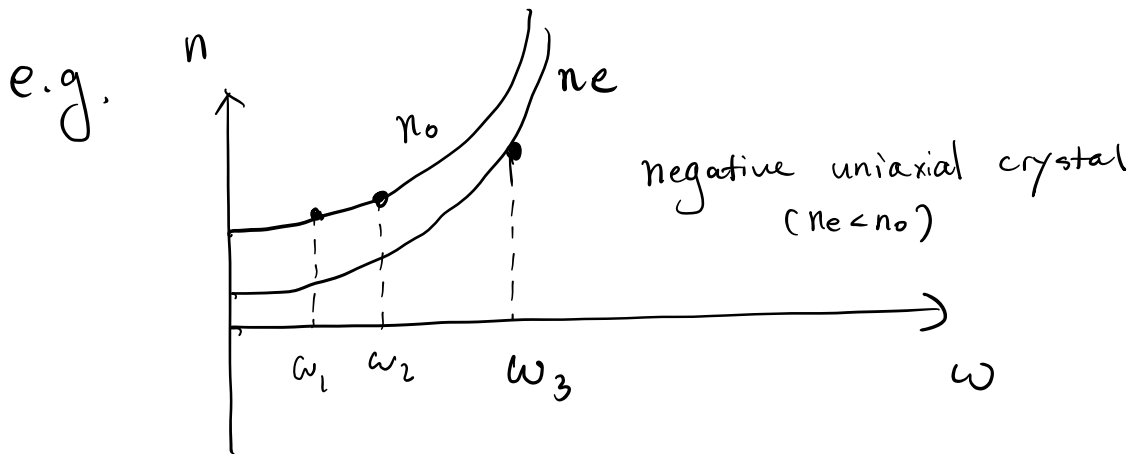
① For optical materials, in normal dispersion regime  $n \uparrow$  as  $\omega \uparrow$ , (harmonic oscillator)

② Since  $\omega_3 > \omega_1$ ,  $\omega_3 > \omega_2$ .  $n_3 > n_1$ ,  $n_3 > n_2$   
 realizing  $n_1 \frac{\omega_1}{c} + n_2 \frac{\omega_2}{c} = n_3 \frac{\omega_3}{c}$  is impossible! as  

$$n_1 \frac{\omega_1}{c} + n_2 \frac{\omega_2}{c} < n_3 \frac{\omega_3}{c}.$$

### 3. Birefringence crystal. (non-cubic)

Birefringence: dependence of  $n$  on the direction of polarization of optical radiation



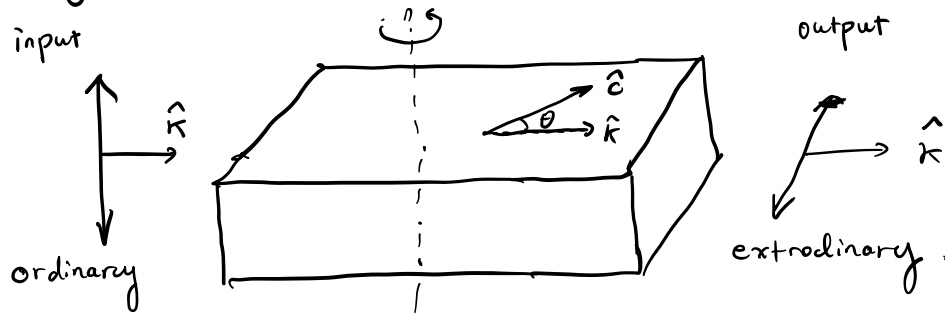
	Positive uniaxial ( $n_e > n_o$ )	Negative uniaxial ( $n_e < n_o$ )
Type-I	$n_3^o \omega_3 = n_1^e \omega_1 + n_2^e \omega_2$	$n_3^e \omega_3 = n_1^o \omega_1 + n_2^o \omega_2$
Type-II	$n_3^o \omega_3 = n_1^o \omega_1 + n_2^e \omega_2$	$n_3^e \omega_3 = n_1^e \omega_1 + n_2^o \omega_2$

Note: type-I is easier to achieve since  $\omega_1$  and  $\omega_2$  can share the same polarization

How to realize phase matching in experiments?

Angle tuning:

e.g. Negative uniaxial crystal. ( $n_e < n_o$ )

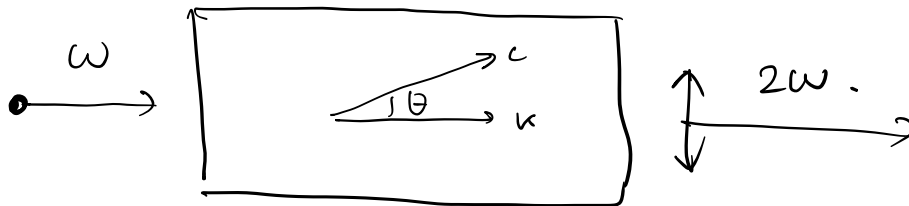


$n_e$  depends on  $\theta$

$$\frac{1}{n_e(\theta)^2} = \frac{\sin^2 \theta}{\bar{n}_e^2} + \frac{\cos^2 \theta}{n_o^2} \quad (1)$$

↑ principle value of  $n_e$ .  
 $n_e(90^\circ) = \bar{n}_e$

Example: SHG process



phase matching requires:

$$n_e(2\omega, \theta) = n_o(\omega) \quad (2)$$



plug ② into ①, we have

$$\frac{\sin^2 \theta}{\bar{n}_e(2\omega)^2} + \frac{\cos^2 \theta}{n_o(2\omega)^2} = \frac{1}{n_o(\omega)^2}$$

$$\Rightarrow \theta = \sin^{-1} \sqrt{\frac{\frac{1}{n_o(\omega)^2} - \frac{1}{n_o(2\omega)^2}}{\frac{1}{\bar{n}_e(2\omega)^2} - \frac{1}{n_o(2\omega)^2}}}$$

To achieve the phase matching, crystal should be oriented in a specific angle !!

#### 4. Quasi-phase matching

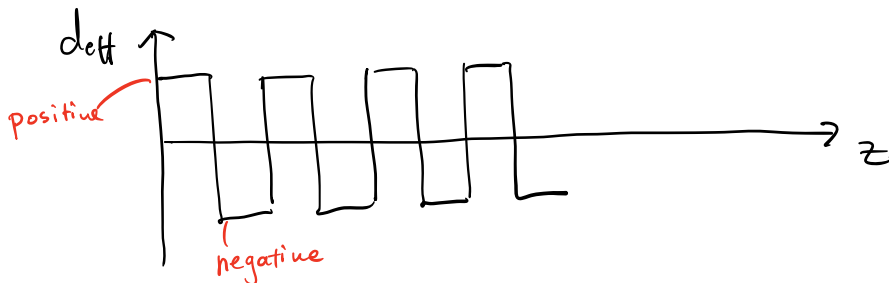
For SFG:  $\frac{dA_3}{dz} = c \cdot d_{\text{eff}} \cdot e^{i\Delta k z}$

*we can't do too much with this term*

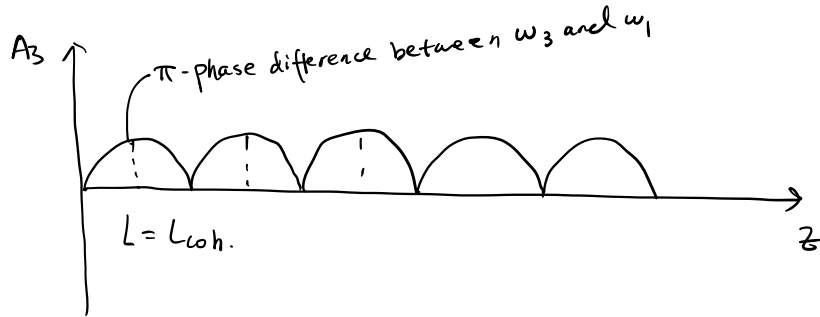
*How about this?*

idea case:  $d_{\text{eff}}(z) = d_{\text{eff}} \cdot e^{-i\Delta k z}$  (impossible)

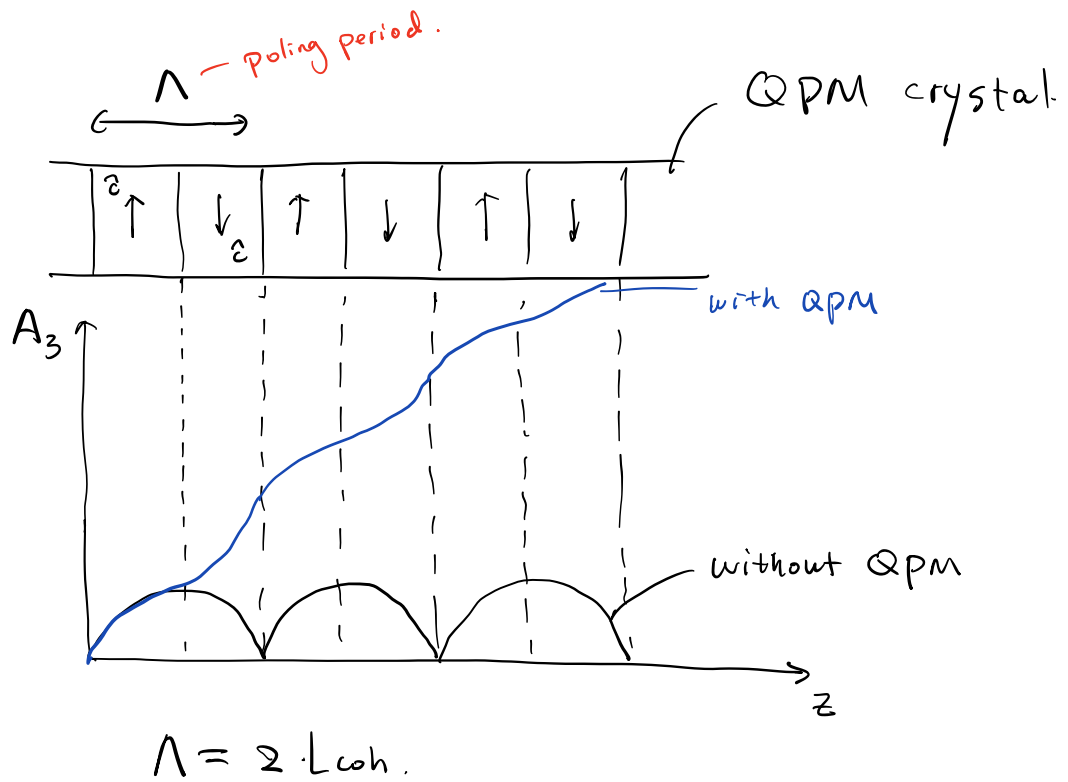
practical case  $d_{\text{eff}}(z) = d_{\text{eff}} \cdot \text{sign} \left[ \cos \left( \frac{2\pi z}{\Lambda} \right) \right]$  (QPM)



Physical meaning:



At  $L = L_{coh}$ , there's a  $\pi$  phase difference between  $\omega_3$  and  $\omega_1$ .  
 By introducing QPM, we flip the sign of  $d_{eff}$  at  $L_{coh}$ ,  
 then  $\pi$  phase is regarded "0-phase" for  $\omega_3$  and  $\omega_1$  at  
 the next cycle.



Mathematics:

For QPM crystals:

$$\begin{aligned}
 d(z) &= d_{\text{eff}} \sin\left[\cos\left(\frac{2\pi z}{\Lambda}\right)\right] \\
 &= d_{\text{eff}} \cdot \sum_{m=-\infty}^{\infty} G_m \exp(ik_m z)
 \end{aligned}$$

$\frac{2}{m\pi} \sin\left(\frac{m\pi}{2}\right)$  (points to  $G_m$ )  
 $k_m = m \frac{2\pi}{\Lambda}$  (points to  $ik_m z$ )

plug  $d(z)$  into coupled amplitude equations, we get:

$$\begin{cases}
 \frac{dA_3}{dz} = \frac{2i\omega_3 d_Q}{n_3 c} A_1 A_2 e^{i\Delta k_Q z} \\
 \frac{dA_1}{dz} = \frac{2i\omega_1 d_Q}{n_1 c} A_3 A_2^* e^{-i(\Delta k_Q - 2k_m)z} \\
 \frac{dA_2}{dz} = \frac{2i\omega_2 d_Q}{n_2 c} A_3 A_1^* e^{-i(\Delta k_Q - 2k_m)z}
 \end{cases}$$

where  $d_Q = d_{\text{eff}} \cdot G_m = d_{\text{eff}} \cdot \frac{2}{m\pi} \sin\left(\frac{m\pi}{2}\right)$

$$\Delta k_Q = k_1 + k_2 - k_3 + \underline{k_m} \leftarrow m \cdot \frac{2\pi}{\Lambda}$$

Comments:

- ① with QPM, the couple amplitude equations involve modified nonlinear coupling  $d_{\text{eff}}$

and phase mismatch  $\Delta k$ .

- ② When  $m=1$ . (first order QPM),  $dQ$  is maximum (most efficient)

For 1<sup>st</sup> order QPM.

$$\Delta k_Q = k_1 + k_2 - k_3 + \frac{2\pi}{\Lambda} = 0$$

$$\Rightarrow \Lambda = \frac{2\pi}{k_3 - (k_1 + k_2)}$$

### Recipe for QPM:

- ① Calculate  $n_1, n_2, n_3$  at  $\omega_1, \omega_2, \omega_3$
- ② Determine  $\Lambda$  by  $\Lambda = \frac{2\pi}{n_1 \frac{\omega_1}{c} - n_2 \frac{\omega_2}{c} - n_3 \frac{\omega_3}{c}}$
- ③ Fabricate the QPM crystal by
  - { periodic poling (Ferroelectrics, eg.  $\text{LiNbO}_3$ .)
  - { Orientation patterning (Semiconductors, GaAs, GaP)