

Lecture 2. Nonlinear susceptibility

Learning objectives:

1. Classic harmonic oscillator model
2. Anharmonic model $\left\{ \begin{array}{l} \text{Non-centrosymmetric media} \\ \text{Centrosymmetric media} \end{array} \right.$

$\chi^{(2)}$ and $\chi^{(3)}$ are related to $\chi^{(1)}$

Recap: last lecture:

$$\mathcal{P}(t) = \epsilon_0 \chi^{(1)} E(t) + \epsilon_0 \chi^{(2)} E^2(t) + \epsilon_0 \chi^{(3)} E^3(t) + \dots$$

\uparrow \uparrow \uparrow
 $P^{(1)}$ $P^{(2)}$ $P^{(3)}$

At $E \sim E_{at}$, $P^{(1)} \sim P^{(2)}$

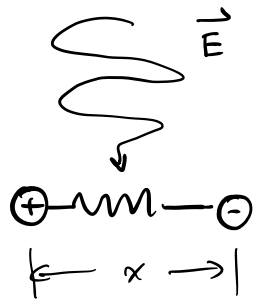
$\chi^{(1)} \sim \chi^{(2)} E_{at}$ ——— inner atom
E-field

$$\Rightarrow \left\{ \begin{array}{l} \chi^{(2)} \sim \frac{\chi^{(1)}}{E_{at}} \\ \chi^{(3)} \sim \frac{\chi^{(1)}}{E_{at}^2} \end{array} \right.$$

What can be the more rigorous relation between

$\chi^{(1)}$, $\chi^{(2)}$ and $\chi^{(3)}$?

1. Classic harmonic oscillator model.



dipole moment: $p = qx$

Equation of motion: $F = m \frac{d^2 \tilde{x}}{dt^2}$

$$F = \underbrace{-eE}_{EM} - \underbrace{m\omega_0^2 \tilde{x}}_{\text{restoring}} - \underbrace{2m\gamma \frac{d\tilde{x}}{dt}}_{\text{damping}} = m \frac{d^2 \tilde{x}}{dt^2} \quad \textcircled{1}$$

Assume the input field:

$$\tilde{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + \text{c.c.}, \text{ plug in } \textcircled{1}.$$

$$\text{Solutions: } \tilde{x}(\omega_1) = - \frac{e E_1}{m(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)}$$

$$\tilde{x}(\omega_2) = - \frac{e E_2}{m(\omega_0^2 - \omega_2^2 - 2i\omega_2\gamma)} \rightarrow \text{Define as } D$$

$$\text{Or. } \tilde{x}^{(j)}(\omega_j) = - \frac{e E_j}{m \cdot D(\omega_j)} \quad j=1, 2, \dots$$

Displacement

How to calculate the susceptibility? $\chi^{(j)}$

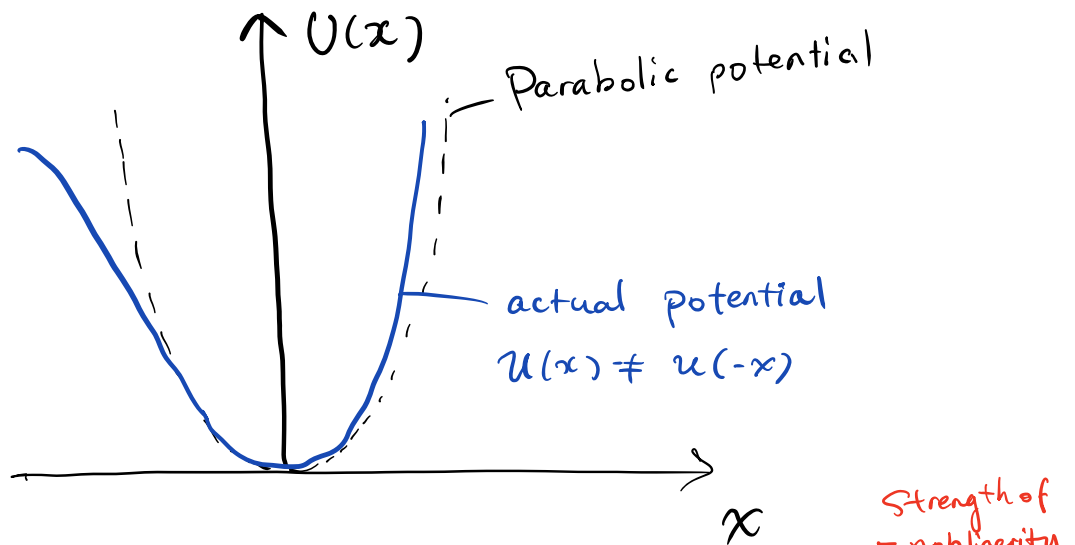
Definition of polarization :

$$P^{(1)}(\omega_j) = \epsilon_0 \chi^{(1)}(\omega_j) E(\omega_j) = -Ne x^{(1)}(\omega_j)$$

$$\chi^{(1)}(\omega_j) = \frac{Ne^2}{m \epsilon_0 D(\omega_j)}$$

2. Anharmonic oscillator model

① Non-centrosymmetric media



$$U(\tilde{x}) = - \int F_{\text{restoring}} \cdot d\tilde{x} = \underbrace{\frac{1}{2} m \omega_0^2 \tilde{x}^2}_{\text{even}} + \underbrace{\frac{1}{3} m a \tilde{x}^3}_{\text{odd}}$$

$$F_{\text{restoring}} = - \frac{dU(x)}{dx} = -m \omega_0^2 x - m a x^2$$

E.O.M.

$$\frac{d^2x}{dt^2} + 2\gamma \frac{dx}{dt} + \omega_0^2 x + ax^2 = \frac{-e\tilde{E}(t)}{m}$$

Assuming input field:

$$\tilde{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + \text{c.c.}$$

No general solution! Use the perturbation theory
(same as the Q.M.)

$$\ddot{x} + 2\gamma \dot{x} + \omega_0^2 x + ax^2 = -\lambda eE(t)/m \quad \textcircled{1}$$

↑ coupling strength $0 \rightarrow 1$
Set to be 1 at
the end.

Solution: $x = \lambda x^{(1)} + \lambda^2 x^{(2)} + \lambda^3 x^{(3)} + \dots$, plug in $\textcircled{1}$

Match the λ , λ^2 and λ^3 terms to make sure
it is a solution

We have:

$$\left\{ \begin{array}{l} \ddot{x}^{(1)} + 2\gamma \dot{x}^{(1)} + \omega_0^2 x^{(1)} = -\frac{eE(t)}{m} \quad \text{--- linear} \\ \ddot{x}^{(2)} + 2\gamma \dot{x}^{(2)} + \omega_0^2 x^{(2)} + a(x^{(1)})^2 = 0 \\ \ddot{x}^{(3)} + 2\gamma \dot{x}^{(3)} + \omega_0^2 x^{(3)} + 2ax^{(1)}x^{(2)} = 0 \\ \vdots \end{array} \right. \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \text{nonlinear}$$

Since for the linear case,

$$x^{(1)}(\omega_j) = -\frac{e}{m} \frac{E_j}{D(\omega_j)} \leftarrow \omega_0^2 - \omega_j^2 - 2i\omega\gamma$$

We know the input contains ω_1 and ω_2 .

So $(x^{(1)})^2$ contains $\pm 2\omega_1$, $\pm 2\omega_2$, $\pm(\omega_1 + \omega_2)$, $\pm(\omega_1 - \omega_2)$

At ω_1 , the second eq. can be written as:

$$\ddot{x}^{(2)} + 2\gamma \dot{x}^{(2)} + \omega_0^2 x^{(2)} = \frac{-a \left(\frac{eE_1}{m}\right)^2 e^{-2i\omega_1 t}}{D^2(\omega_1)}$$

Assuming solution: $x^{(2)}(t) = x^{(2)}(2\omega_1) e^{-2i\omega_1 t}$

$$\Rightarrow x^{(2)}(2\omega_1) = \frac{-a \left(\frac{e}{m}\right)^2 E_1^2}{D(2\omega_1) D^2(\omega_1)}$$

$$\chi^{(2)}(2\omega_2) = \frac{-a(e/m)^2 E_2^2}{D(2\omega_2) D^2(\omega_2)}$$

$$\chi^{(2)}(\omega_1 + \omega_2) = \frac{-2a\left(\frac{e}{m}\right)^2 E_1 E_2}{D(\omega_1 + \omega_2) D(\omega_1) D(\omega_2)}$$

$$\chi^{(2)}(\omega_1 - \omega_2) = \frac{-2a\left(\frac{e}{m}\right)^2 E_1 E_2^*}{D(\omega_1 - \omega_2) D(\omega_1) D(\omega_2)}$$

$$\chi^{(2)}(0) = \frac{-2a\left(\frac{e}{m}\right)^2 E_1 E_1^*}{D(0) D(\omega_1) D(-\omega_1)} + \frac{-2a\left(\frac{e}{m}\right)^2 E_2 E_2^*}{D(0) D(\omega_2) D(-\omega_2)}$$

Next, calculate $\chi^{(2)}(2\omega_1, \omega_1, \omega_1)$

$$P^{(2)}(2\omega_1) = \epsilon_0 \chi^{(2)}(2\omega_1, \omega_1, \omega_1) E(\omega_1)^2$$

✓

$$= N e \chi^{(2)}(2\omega_1)$$

$$\begin{aligned} \Rightarrow \chi^{(2)}(2\omega_1, \omega_1, \omega_1) &= \frac{N \left(\frac{e^3}{m^2}\right) a}{\epsilon_0 D(2\omega_1) D^2(\omega_1)} \\ &= \frac{\epsilon_0^2 m a}{N^2 e^3} \chi^{(1)}(2\omega_1) [\chi^{(1)}(\omega_1)]^2 \end{aligned}$$

Similarly, we can write

$$\chi^{(2)}(\omega_1 + \omega_2, \omega_1, \omega_2) \quad \chi^{(2)}(\omega_1 - \omega_2, \omega_1, \omega_2) \quad \chi^{(2)}(0, \omega_1, -\omega_1)$$

$$\left\{ \begin{aligned} \chi^{(2)}(2\omega_1, \omega_1, \omega_1) &= \frac{\epsilon_0^2 m a}{N^2 e^3} \chi^{(1)}(2\omega_1) [\chi^{(1)}(\omega_1)]^2 \\ \chi^{(2)}(\omega_1 + \omega_2, \omega_1, \omega_2) &= \frac{\epsilon_0^2 m a}{N^2 e^3} \chi^{(1)}(\omega_1 + \omega_2) \chi^{(1)}(\omega_1) \chi^{(1)}(\omega_2) \quad (\text{SFG}) \\ \chi^{(2)}(\omega_1 - \omega_2, \omega_1, \omega_2) &= \frac{\epsilon_0^2 m a}{N^2 e^3} \chi^{(1)}(\omega_1 - \omega_2) \chi^{(1)}(\omega_1) \chi^{(1)}(-\omega_2) \quad (\text{DFG}) \\ \chi^{(2)}(0, \omega_1, -\omega_1) &= \frac{\epsilon_0^2 m a}{N^2 e^3} \chi^{(1)}(0) \chi^{(1)}(\omega_1) \chi^{(1)}(-\omega_1) \end{aligned} \right.$$

Miller's rule:

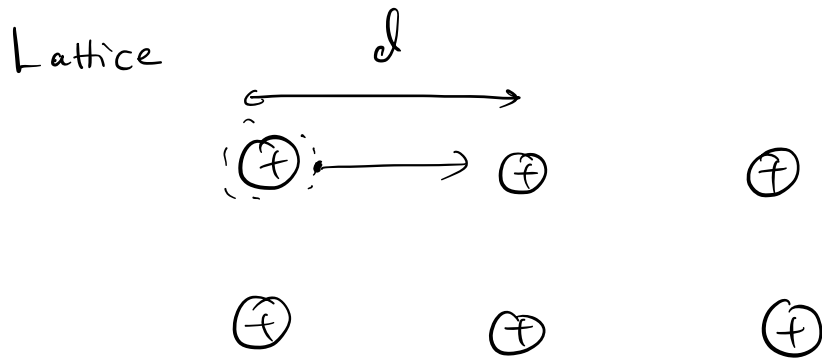
For all non-centrosymmetric crystals,

$$\frac{\chi^{(2)}(\omega_1 + \omega_2, \omega_1, \omega_2)}{\chi^{(1)}(\omega_1 + \omega_2) \chi^{(1)}(\omega_1) \chi^{(1)}(\omega_2)} \approx \text{Constant.}$$

$$\Rightarrow \frac{\overset{\text{electron mass}}{m a \epsilon_0}}{N^2 e^3} \stackrel{?}{=} \text{Constant}$$

\downarrow
 $\sim 10^{22} \text{ cm}^{-3}$
 for all matter.

How to calculate the nonlinear restoring force term a ?



When e^- displacement is comparable to d , linear and non linear restoring force would be comparable,

i.e. $m\omega_0^2 d = macl^2$.

$\Rightarrow a = \frac{\omega_0^2}{d}$ \rightarrow roughly the same for all solids

$$\chi^{(2)}(\omega_1 + \omega_2, \omega_1, \omega_2) = \frac{N(e^3/m^2) a}{\epsilon_0 D(\omega_1 + \omega_2) D(\omega_1) D(\omega_2)}$$

$\uparrow \omega_0^2$ $\downarrow \omega_0^2$ $\downarrow \omega_0^2$

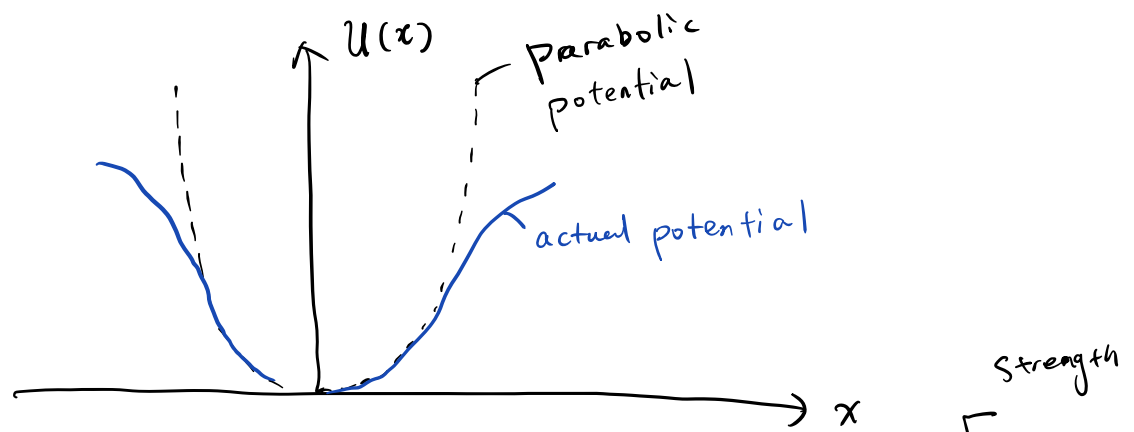
$$\approx \frac{e^3}{\epsilon_0 m^2 \omega_0^4 d^4}$$

$\frac{1}{d^3} \rightarrow$ $\frac{\omega_0^2}{d}$

$\omega_0 = 1 \times 10^6 \text{ rad/s}$, $d = 3 \text{ \AA}$, $e = 1.6 \times 10^{-19} \text{ C}$ $m = 9.1 \times 10^{-31} \text{ kg}$

$$\chi^{(2)} \approx 6.9 \times 10^{-12} \text{ m/V}$$

② Centrosymmetric media.



$$U(x) = - \int F_{\text{restoring}} \cdot dx = \frac{1}{2} m \omega_0^2 x^2 - \frac{1}{4} m b x^4$$

$$F_{\text{restoring}} = - \frac{dU(x)}{dx} = -m \omega_0^2 x + m b x^3$$

E.O.M.

$$\ddot{\tilde{x}} + 2\gamma \dot{\tilde{x}} + \omega_0^2 \tilde{x} - b \tilde{x}^3 = - \frac{e \tilde{E}(t)}{m} \quad \text{①}$$

Assume the input field:

$$\begin{aligned} \hat{E}(t) &= E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + E_3 e^{-i\omega_3 t} + \text{c.c.} \\ &= \sum_n E(\omega_n) e^{-i\omega_n t} \end{aligned}$$

Perturbation theory:

$$\tilde{x}(t) = \lambda \tilde{x}^{(1)}(t) + \lambda^2 \tilde{x}^{(2)}(t) + \lambda^3 \tilde{x}^{(3)}(t) + \dots, \text{ plug in } \textcircled{1}$$

We get

$$\begin{cases} \ddot{\tilde{x}}^{(1)} + 2\gamma \dot{\tilde{x}}^{(1)} + \omega_0^2 \tilde{x}^{(1)} = \frac{-e\tilde{E}(t)}{m} & \textcircled{2} \\ \ddot{\tilde{x}}^{(2)} + 2\gamma \dot{\tilde{x}}^{(2)} + \omega_0^2 \tilde{x}^{(2)} = 0 & \textcircled{3} \text{ damped, not driven} \\ \ddot{\tilde{x}}^{(3)} + 2\gamma \dot{\tilde{x}}^{(3)} + \omega_0^2 \tilde{x}^{(3)} - b \tilde{x}^{(1)3} = 0 & \textcircled{4} \end{cases}$$

$\tilde{x}^{(2)} = 0$

let $\tilde{x}^{(1)}(t) = \sum_n \tilde{x}^{(1)}(\omega_n) e^{-i\omega_n t}$.

$\textcircled{4}$ becomes:

$$\ddot{\tilde{x}}^{(3)} + 2\gamma \dot{\tilde{x}}^{(3)} + \omega_0^2 \tilde{x}^{(3)} = - \sum_{mnp} \frac{be^3 [E(\omega_m) E(\omega_n)] E(\omega_p)}{m^3 D(\omega_m) D(\omega_n) D(\omega_p)} e^{-i(\omega_m + \omega_n + \omega_p)t}$$

Assume solution is in the form:

$$\tilde{x}^{(3)}(t) = \sum_q \tilde{x}^{(3)}(\omega_q) e^{-i\omega_q t}$$

$$\tilde{x}^{(3)}(\omega_q) = - \sum_{mnp} \frac{be^3 [E(\omega_m) E(\omega_n)] E(\omega_p)}{m^3 D(\omega_q) D(\omega_m) D(\omega_n) D(\omega_p)}$$

Recall $P_i^{(3)}(\omega_q) = \epsilon_0 \sum_{jkl} \sum_{mnp} \chi_{ijkl}^{(3)}(\omega_q, \omega_m, \omega_n, \omega_p) E_j(\omega_m) E_k(\omega_n) E_l(\omega_p)$

$$\Rightarrow \chi_{ijkl}^{(3)}(\omega_q, \omega_m, \omega_n, \omega_p)$$

$$= \frac{bm\epsilon_0^3}{3N^3e^4} [\chi^{(1)}(\omega_q) \chi^{(1)}(\omega_m) \chi^{(1)}(\omega_n) \chi^{(1)}(\omega_p)]$$

$$\cdot [\delta_{ij}\delta_{kl} + \delta_{ij}\delta_{jk} + \delta_{ij}\delta_{jk}]$$

When $x \sim d$, $\frac{m\omega_0^2 d}{\text{linear}} = \frac{mbd^3}{\text{nonlinear}}$

$$\Rightarrow d = \frac{\omega_0^2}{d^2}$$

$$\chi^{(3)} \simeq \frac{Nbe^4}{\epsilon_0 m^3 \omega_0^8} = \frac{e^4}{\epsilon_0 m^3 \omega_0^6 d^5} \simeq 344 \text{ pm}^2/\text{V}^2$$

$7 \times 10^{15} \text{ rad/s}$ \downarrow 3 \AA