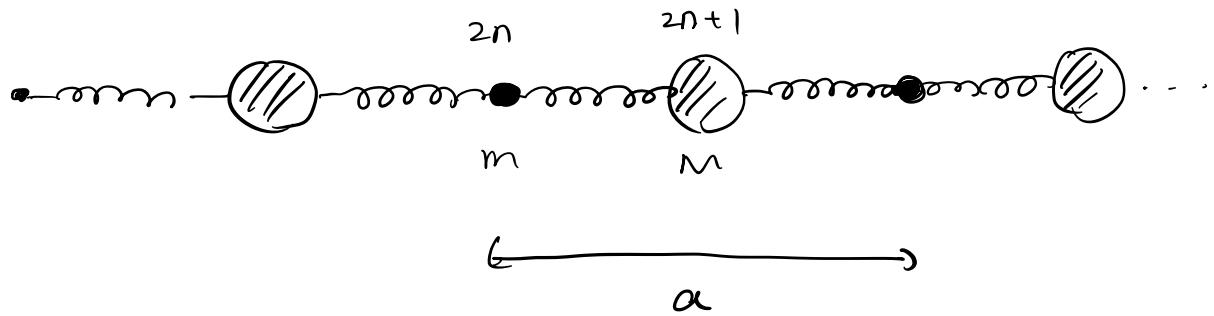


Lecture 12. Raman and Brillouin Scattering

- ① Lattice vibration
- ② Spontaneous light scattering
- ③ Spontaneous / Stimulated Raman scattering
- ④ Spontaneous / Stimulated Brillouin scattering

1. Lattice vibration

Model: 1-D Atomic chain. (Kittel. Solid-state Physics)



Newton's Second law:

$$m \frac{d^2 q_{2n}}{dt^2} = \beta (q_{2n+1} - q_{2n}) - \beta (q_{2n-1} - q_{2n}) \quad \text{①}$$
$$= \beta (q_{2n+1} + q_{2n-1} - 2q_{2n})$$

$$M \frac{d^2 q_{2n+1}}{dt^2} = \beta (q_{2n+2} - q_{2n+1}) + \beta (q_{2n} - q_{2n+1}) \quad \text{②}$$
$$= \beta (q_{2n+2} + q_{2n} - 2q_{2n+1})$$

Solution of ①, ② are in the form:

$$q_{2n,k} = \xi_k e^{i(\omega t + 2nka)}$$

$$q_{2n+1,k} = \eta_k e^{i(\omega t + (2n+1)ka)}$$

Plug in ①, ②, we get

$$\begin{cases} -\omega^2 m \xi_k = \beta \eta_k (e^{ika} + e^{-ika}) - 2\beta \xi_k \\ -\omega^2 M \eta_k = \beta \xi_k (e^{ika} + e^{-ika}) - 2\beta \eta_k \end{cases}$$

$$\Rightarrow (2\beta - m\omega^2)(2\beta - M\omega^2) - 4\beta^2 \cos^2(ka) = 0$$

$$\Rightarrow \omega^2 = \beta \left(\frac{1}{m} + \frac{1}{M} \right) \pm \beta \cdot \sqrt{\left(\frac{1}{m} + \frac{1}{M} \right)^2 - \frac{4\sin^2(ka)}{Mm}}$$

When $ka \ll 1$,

$$\omega^2 = \beta \left(\frac{1}{m} + \frac{1}{M} \right) \pm \beta \cdot \left(\frac{1}{m} + \frac{1}{M} \right) \cdot \left[1 - \frac{2(ka)^2}{Mm \left(\frac{1}{m} + \frac{1}{M} \right)^2} \right]$$

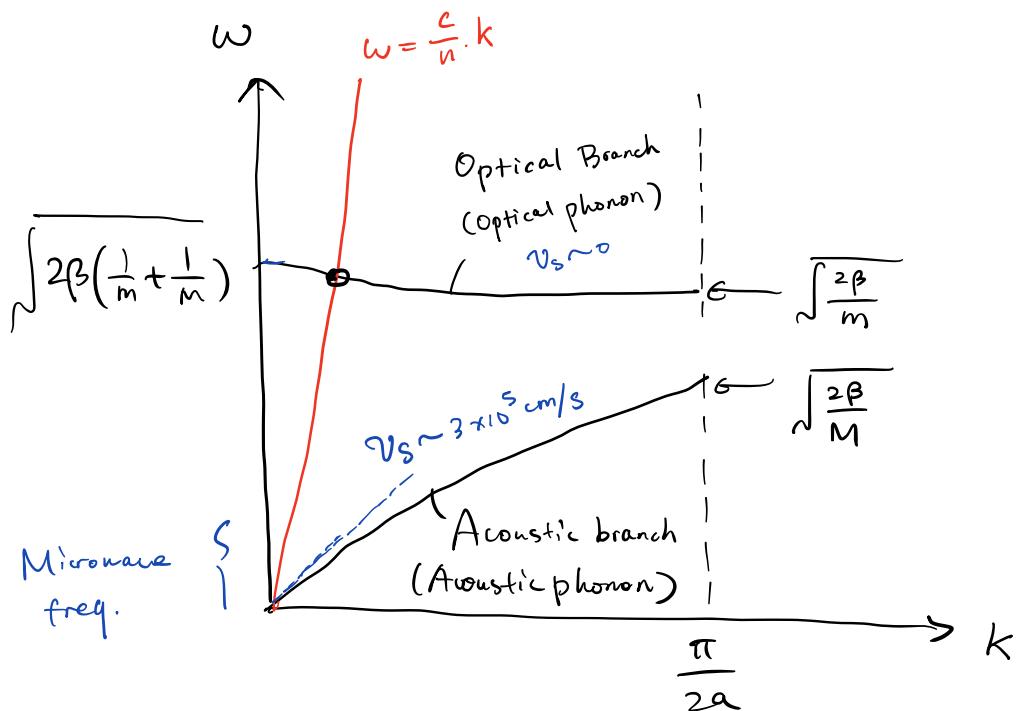
$$\Rightarrow \omega_1 = \sqrt{2\beta \left(\frac{1}{m} + \frac{1}{M} \right)}$$

$$\omega_2 = \sqrt{\frac{2\beta}{M+m}} \cdot ka$$

When $k\alpha = \frac{\pi}{2}$,

$$\omega_1 = \sqrt{\frac{2\beta}{m}}, \quad \omega_2 = \sqrt{\frac{2\beta}{M}}$$

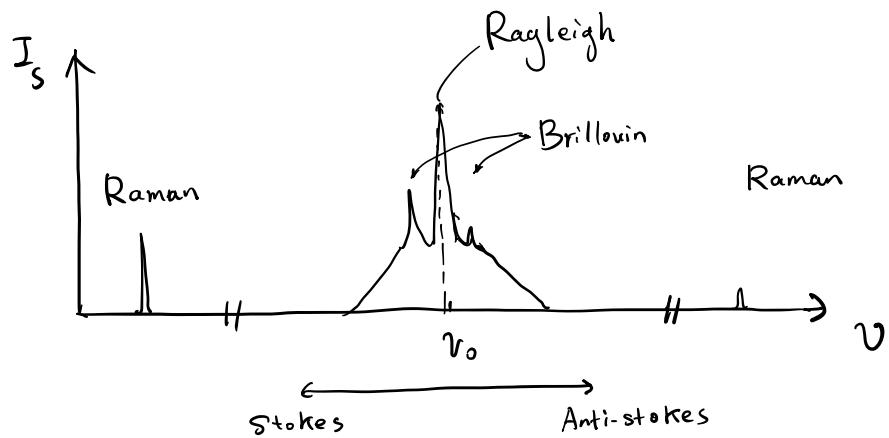
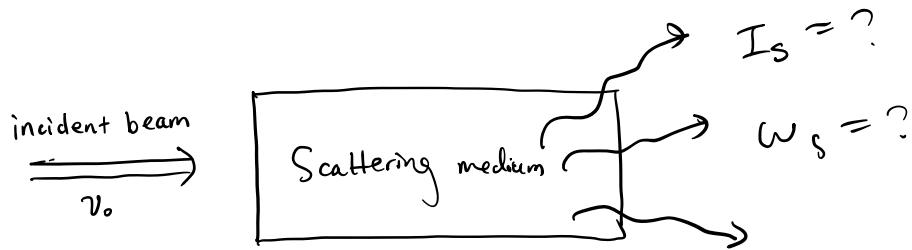
Dispersion diagram:



Note:

- ① For real-materials, there are more atoms per unit cell. \Rightarrow More bands
- ② Optical phonon \Rightarrow Flat band ($\Omega_{op} \sim 10^{12} \sim 10^{13} \text{ Hz}$)
Acoustic phonon \Rightarrow linear-like band ($\Omega_{ac} \sim 10^6 \text{ Hz}$)
- ③ Monochromatic field can induce OP, but not AP.

1. Spontaneous light scattering



① Raman scattering:

Scattering of light by molecule vibration (or optical phonons)

② Brillouin scattering:

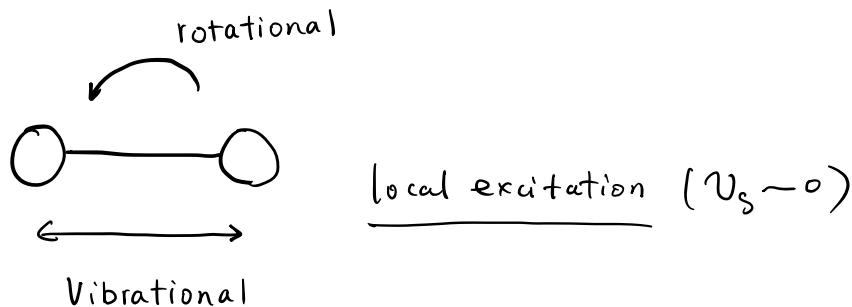
Scattering of light by sound wave (or acoustic phonon)

③ Rayleigh-wing:

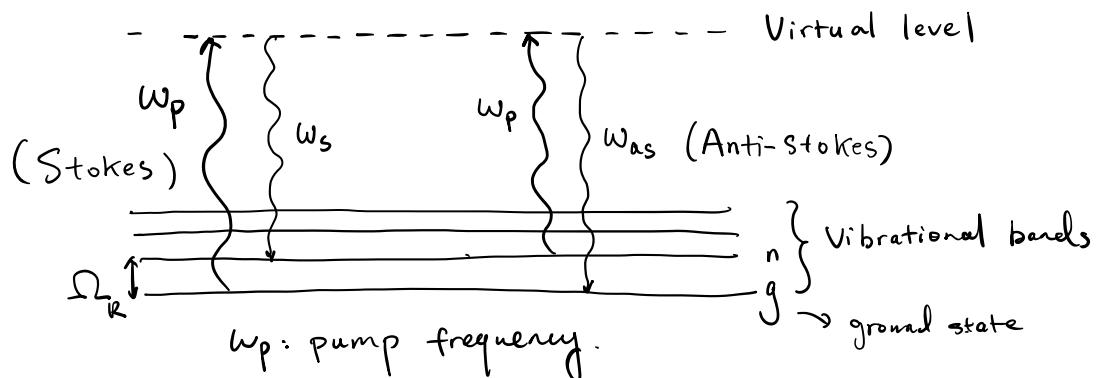
Scattering from fluctuations in the orientation of anisotropic molecules.
(Does not occur for isotropic materials)

2. Spontaneous & Stimulated Raman scattering

Molecules:



Vibration (or rotation) frequency: $\omega_v \rightarrow$ typically infrared.
($10^{12} \sim 10^{13} \text{ Hz}$)

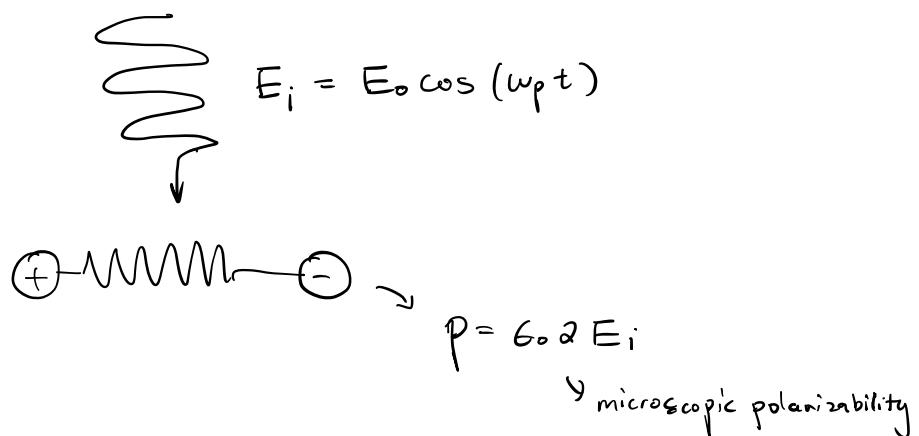


Comments:

- ① Final state \neq ground state (Non-parametric)
- ② "Stokes Raman scattering": $w_s < w_p$,
ground state vibration absorbs energy from pump, changes its energy level.
- ③ "Anti-Stokes Raman scattering": $w_s = w_p$. Molecules are already in excited state.

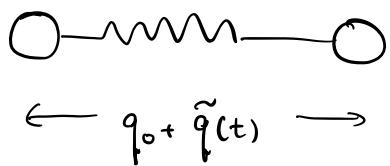
- ④ Anti-Stokes scattering is typically much weaker than Stokes. (population of n is less than g by $\exp\left(-\frac{\hbar\omega_{ng}}{kT}\right)$)

Explanation:



Key assumption: microscopic polarizability is not a constant, but depends on internuclear separation $\tilde{q}(t)$.

i.e.



$$\Rightarrow \alpha(q) = \underbrace{\alpha_0 + \left(\frac{d\alpha}{dq}\right)_0}_{\text{equilibrium value}} \cdot \tilde{q}^{(+)^+} + \underbrace{\tilde{q}_f^{(+)^+} = q_0 \cos(\Omega_R t)}_{\text{ignore, weak function of } q}$$

Microscopic polarization:

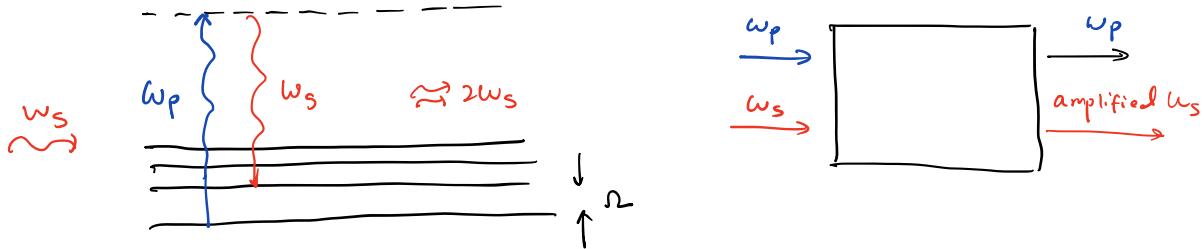
$$\begin{aligned}
 P &\simeq \epsilon_0 \alpha \cdot E_i = \epsilon_0 \left[\alpha_0 + \left(\frac{d\alpha}{dq} \right)_0 \tilde{q}_f^{(+)^+} \right] E_0 \cos(\omega_p t) \\
 &= \epsilon_0 \alpha_0 E_0 \cos(\omega_p t) + \left(\frac{d\alpha}{dq} \right)_0 \tilde{q}_f^{(+)^+} E_0 \cos(\omega_p t) \\
 &= \epsilon_0 \alpha_0 E_0 \cos(\omega_p t) + \left(\frac{d\alpha}{dq} \right)_0 q_0 \underbrace{\cos(\Omega_R t) E_0 \cos(\omega_p t)}_{\cos(\Omega_R + \omega_p)t + \cos(\Omega_R - \omega_p)t} \\
 &= \epsilon_0 \alpha_0 E_0 \cos(\omega_p t) + \frac{E_0 q_0}{2} \left(\frac{d\alpha}{dq} \right)_0 [\cos(\Omega_R + \omega_p)t + \cos(\Omega_R - \omega_p)t] \\
 &= P^{(\omega_p)} + P^{(\omega_p + \Omega_R)} + P^{(\omega_p - \Omega_R)} \\
 &\quad \downarrow \quad \downarrow \quad \downarrow \\
 &\quad \text{Pump.} \quad \text{Anti-Stokes} \quad \text{Stokes}
 \end{aligned}$$

Comments:

1. Can be used to measure the vibrational levels,
 \Rightarrow fingerprints of various molecules (Raman spectroscopy)

- 2 Challenge: very weak!
 \rightarrow Surface-enhanced Raman scattering (SERS)
or Stimulated Raman.

Stimulated Raman Scattering



two input lasers: one at ω_p , one at ω_s

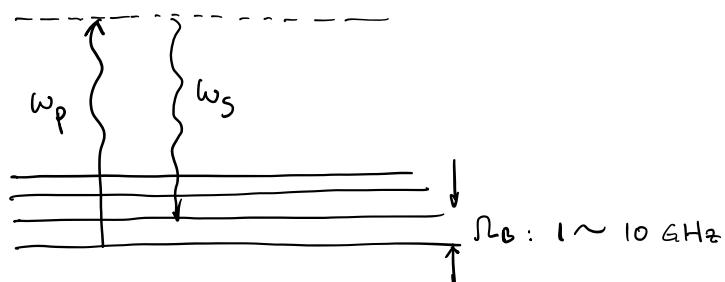
How to determine ω_s ? Fix ω_p , scan across ω_s , monitor intensity.

Applications: Raman amplifier, Raman laser...

3. Spontaneous & Stimulated Brillouin Scattering

Brillouin: Acoustic wave in bulk materials (global excitation, $v_s \neq 0$)
Microwave frequencies. ($\sim 10 \text{ GHz}$)

Level diagram look similar to Raman



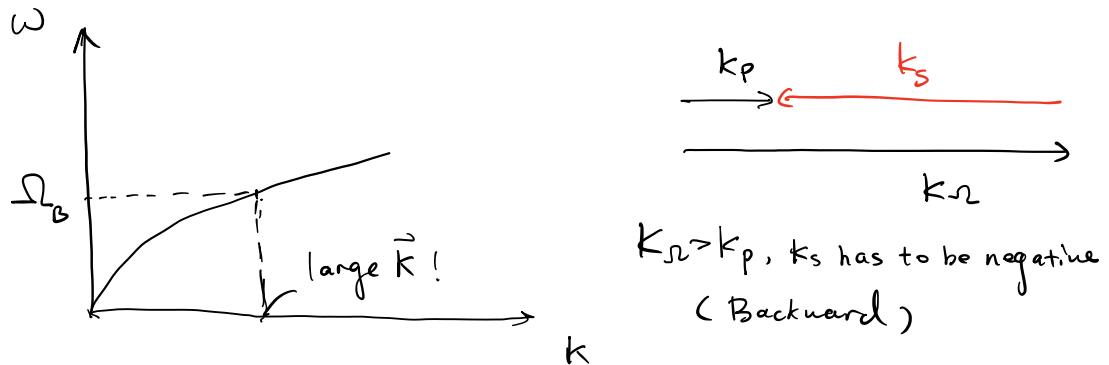
Input: optical pump at ω_p .

Output: optical field at ω_s , and acoustic wave at $\Omega_B = \omega_p - \omega_s$

Spontaneous Brillouin Scattering

In Bulk medium:

Momentum conservation



① Optical pump at ω_p .



② Output acoustic wave

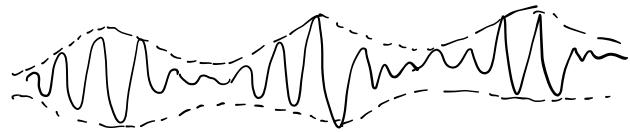


③ Output Stokes wave



Stimulated Brillouin Scattering (SBS)

① $A_p e^{ik_p x} + A_s e^{-ik_s x}$
 \Rightarrow Fringes.

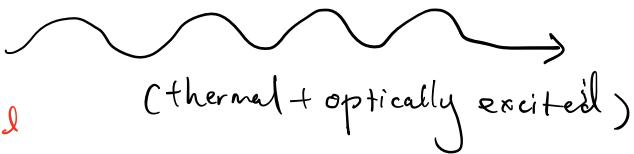


② Interfere fringes

generate acoustic wave

through "electrostriction"

Materials get compressed
by external field



③ the generated acoustic wave

act as grating, generates more
reflection at ω_s

